

NOV-04-03 TUE 03:14 PM

FAX NO.


P. 01

PTO/SB/21 (08-03)

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/669,202	
	Filing Date	9/23/03	
	First Named Inventor	HEIMANN	
	Art Unit	---	
	Examiner Name	---	
Total Number of Pages in This Submission	10	Attorney Docket Number	EL 026 RH-1

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
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Firm or Individual name	MICHAEL K BOYER	
Signature	<i>Michael Boyer</i>	
Date	NOV 04, 2003	

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<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. <b>EL026RH-1</b>
In Re Application Of: <b>ROBERT L. HEIMANN ET AL.</b>			
Serial No. <b>10/669,202</b>	Filing Date <b>SEPTEMBER 23, 2003</b>	Examiner <b>UNKNOWN</b>	Group Art Unit <b>UNKNOWN</b>
Title: <b>COATING COMPOSITIONS FOR ELECTRONIC COMPONENTS AND OTHER METAL SURFACES AND METHODS FOR MAKING AND USING THE COMPOSITIONS</b>			
<small>Address to:</small> <b>Assistant Commissioner for Patents</b> <b>Washington, D.C. 20231</b>			
<b>37 CFR 1.97(b)</b>			
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.			
<b>37 CFR 1.97(c)</b>			
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:  1. a Final Action under 37 CFR 1.113, or  2. a Notice of Allowance under 37 CFR 1.311,  whichever occurs first.			
Also submitted herewith is:			
<input type="checkbox"/> a certification as specified in 37 CFR 1.97(e);			
<b>OR</b>			
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).			

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
 (Under 37 CFR 1.97(b) & 1.97(c))

 Docket No.  
 EL026RH-1
In Re Application Of: **ROBERT L. HEIMANN ET AL.**
 Serial No.  
 10/669,202

 Filing Date  
 SEPTEMBER 23, 2003

 Examiner  
 UNKNOWN

 Group Art Unit  
 UNKNOWN

**Title: COATING COMPOSITIONS FOR ELECTRONIC COMPONENTS AND OTHER METAL SURFACES AND METHODS FOR MAKING AND USING THE COMPOSITIONS**
**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 15-0680 as described below. A duplicate copy of this sheet is enclosed.
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*[Signature]*  
 Signature

Dated: Nov. 04, 2003

**MICHAEL K. BOYER - USPTO REG NO 33,085**  
**ATTORNEY FOR APPLICANTS**  
**ORSCHELN MANAGEMENT CO**  
**2000 US HWY 63 SOUTH**  
**MOBERLY, MISSOURI 65270**  
 tel: 660 269-4536 / fax: 660 269-4530  
 Email: mboyer@orscheln.com

CC:

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P. 04

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

EL026R11-1

Application Number 10/009,202

Applicant(s)

Elisha Holding LLC

Filing Date

9/23/03

Group Art Unit

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		1,068,410A	7/1913	Chubb			
		1,951,039A	3/1934	Scharschu			
		2,282,163A	5/1942	Burgwin			
		2,385,332A	9/1945	Carpenter et al.			
		2,554,250A	5/1951	Horstman et al.			
		2,641,556A	6/1953	Robinson			
		2,799,658A	7/1957	Nickerson			
		2,803,566A	8/1957	Smith-Johannsen			
		2,811,473A	10/1957	Allen et al.			
		2,978,361A	4/1961	Seidl			
		3,133,829A	5/1964	Cupery et al.			

## FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	WO 98/33856	8/1998	WO				
	WO 98/33874	8/1998	WO				
	WO 98/33960	8/1998	WO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Encapsulated Nanoparticles of Iron Metal, K. I. Klabunde, D. Zhang, D. N. Glavco and C.M. Sorensen, G.C. Hadjipanayis - Reprinted from Chemistry of Materials, 1994, 6 pages 784-787.
	Nanoscale Iron Crystallites Encapsulated in Nonmagnetic Metal Shells, Synthesis, Chemical, and Magnetic Properties of Core/Shell Iron-Indium, Iron-Neodymium, and Related Materials, Dajie Zhang, George Glavco, Kenneth J. Klabunde, George C. Hadjipanayis, and Christopher M. Sorensen, High Temperature and Materials Science, vol. 36, 1996, pp. 93-115.

Examiner

Date Considered

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P. 05

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)	Docket Number (Optional)	Application Number
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	Filing Date	Group Art Unit

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		3,224,927A	12/1965	Brown et al.			
		3,301,701A	1/1967	Baker et al.			
		3,372,038A	3/1968	Weides et al.			
		3,583,887A	6/1971	Steger et al.			
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		3,752,689	8/1973	Moore, Jr.			
		3,793,073A	2/1974	Kitayama et al.			
		3,796,608A	3/1974	Pearlman			
		3,832,204A	8/1974	Boaz			
		3,839,256A	10/1974	Parkinson			
		3,908,066	9/1975	Parkinson, Robert E			

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Nanocrystalline Magnetic Particles, New Methods to Surface Protected Metallic and Immiscible Bimetallic Clusters/Particles - Kathie A. Eason, Kenneth J. Blabunde and Christopher M. Sorensen and George C. Hadjipanayis - Polyhedron vol. 13, No. 8, pp. 1197-1223, 1994.
		A Description of the Functions and Process Tests for Cast Aluminum Induction Motor Squirrel Cage Rotors - J. Herb Johnson - Rotor Technology 86, Feb. 5 7, 1986, Indianapolis, Indiana

EXAMINER	DATE CONSIDERED
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Application Number

Applicant(s)

Filing Date

Group Art Unit

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL.	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		3,910,797A	10/1975	Beers			
		3,917,648A	11/1975	McLeod			
		3,945,862A	3/1976	Lee et al.	148.31.5		
		3,996,073A	12/1976	Evans			
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		4,255,205A	3/1981	Morito et al.			
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		4,479,104A	10/1984	Ettinger et al.	336/219		
		4,496,399A	1/1985	Haselkorn			

## FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Serial No. 09/578,728 was filed on 5/24/00 - Robert L. Heimann, et al. - "Coating Compositions for Electronic Components and Other Metal Surfaces and Methods for Making and Using the Compositions."

Examiner

Date Considered

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<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)	Docket Number (Optional)	Application Number
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	Filing Date	Group Art Unit

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,507,360A	3/1985	Perfetti			
		4,517,325A	5/1985	Perfetti			
		4,601,765A	7/1986	Soileau et al.			
		4,618,377A	10/1986	Nakamura et al.			
		4,844,753A	7/1989	Katayama et al.			
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		4,947,065A	8/1990	Ward et al.			
		5,045,350A	9/3/91	Benford et al.	427/127		
		5,063,011A	11/1991	Rutz et al.			

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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## INFORMATION DISCLOSURE CITATION

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Application Number

Applicant(s)

Filing Date

Group An Unit

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,108,793A	4/1992	van Ooij et al.	422/13		
		5,161,597A	11/1992	Dohogne			
		5,182,963A	2/1993	Perisho et al.			
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		5,330,794A	7/1994	Bosco et al.	427/387		
		5,397,530A	3/1995	Narasimhan et al.			
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		5,507,883A	4/16/96	Tanaka et al.	148/113		
		5,510,188A	4/1996	Vockler			

## FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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## INFORMATION DISCLOSURE CITATION

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Docket Number (Optional)

Application number

Applicant(s)

Filing Date

Group Art Unit

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,714,093A	2/1998	Heimann et al.			
		5,723,181A	3/1998	Roberts			
		5,776,261A	7/1998	Panyard et al.			
		5,789,085A	8/1998	Blohowiak et al.			
		5,871,668A	2/1999	Heimann et al.			
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		5,955,201A	9/1999	Loudermilk, et al.	428/450		
		5,961,744A	10/1999	Yamazaki et al.			
		6,015,855A	1/2000	Dalton			
		6,033,495A	3/2000	McGowan et al.			
		6,045,925A	4/2000	Klabunde et al.			

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						YES	NO

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<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)	Docket Number (Optional)	Application Number
	Applicant(s)	
	Filing Date	Group Art Unit

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,455,100A	9/24/02	Heimann et al.			

## FOREIGN PATENT DOCUMENTS

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							YES	NO

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